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TRANSMITTAL LETTER TO THE UNITED STATES
DESIGNATED/ELECTED OFFICE (DO/EO/US)
CONCERNING A SUBMISSION UNDER 35 U.S.C. 371

ATTORNEY'S DOCKET NUMBER 102698-102

	ICERNING A SUBMISSIC	U.S. APPLICATION NO. (If known, see 37 CFR 1.5)							
INTERNATIONAL APPLICATION NO. INTERNATIONAL FILING DATE PCT/EP2003/007333 8 July 2003			PRIORITY DATE CLAIMED 10 July 2002						
TITLE OF INVENTION NOVEL COMPOUNDS									
APPLICANT(S) FOR DO/EO/US									
Neeraj Garg et al. Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:									
	This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371.								
	This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items								
	(5), (6), (9) and (21) indicated below.								
4. X	The US has been elected (Article 31).								
5. X	A copy of the International Application as filed (35 U.S.C. 371(c)(2))								
	a. X is attached hereto (required only if not communicated by the International Bureau).								
	b. X has been communicated by the International Bureau.								
	c. is not required, as the applic	cation was filed in the United States Receiv	ving Office (RO/US).						
6.	An English language translation of the	e International Application as filed (35 U.S.	C. 371(c)(2)).						
	a. is attached hereto.								
·	b. has been previously submit	tted under 35 U.S.C. 154(d)(4).							
7. X	Amendments to the claims of the Inte	rnational Application under PCT Article 19	(35 U.S.C. 371(c)(3))						
	a. are attached hereto (required only if not communicated by the International Bureau).								
	b. X have been communicated by the International Bureau.								
	c. have not been made; however, the time limit for making such amendments has NOT expired.								
	d. have not been made and v	will not be made.							
8.	An English language translation of th	ne amendments to the claims under PCT A	rticle 19 (35 U.S.C. 371(c)(3)).						
9. X	An oath or declaration of the inventor	(s) (35 U.S.C. 371(c)(4)). Unsigned							
10.	An English language translation of the Article 36 (35 U.S.C. 371(c)(5)).	e annexes of the International Preliminary	Examination Report under PCT						
Items 11 to 20 below concern document(s) or Information included:									
11. X	An Information Disclosure Statement	under 37 CFR 1.97 and 1.98.	·						
12.	An assignment document for recording	ng. A separate cover sheet in compliance v	with 37 CFR 3.28 and 3.31 is included.						
13. X	A preliminary amendment.								
14.	An Application Data Sheet under 37 (CFR 1.76.	·						
15.	A substitute specification.								
16. 🔲	A power of attorney and/or change of	address letter.							
17.	A computer-readable form of the sequence	uence listing in accordance with PCT Rule	13ter.2 and 37 CFR 1.821- 1.825.						
18.	A second copy of the published Interr	national Application under 35 U.S.C. 154(d)(4).						
19.	A second copy of the English language	ge translation of the international applicatio	on under 35 U.S.C. 154(d)(4).						
20.	Other items or information: See Att	ached Sheet.							

This collection of information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete, including gathering information, preparing, and submitting the completed form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop PCT,

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U.S. APPLICATION NO. (if known, see 37 CFR 1.5) INTERNATIONAL APPLICATION NO.			ATTORNEY'S DOCKET NUMBER 102698-102					
10/520902								
21. The following fees are submitted:					Applicant use	Office use only		
X a) Basic national fee\$300.00					\$300.00			
l					\$ 200.00			
x c) Search f	ee	•••••••••••••••••••••••••••••••••••••••		\$500.00	\$ 500.00			
TOTAL OF ABOVE CALCULATIONS = \$1000.00 Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence					\$ 1000.00			
listing or co	ee for specification and di mputer program listing file O sheets of paper or fract							
Total Sheets	Extra sheets		per of each additional 50 or fraction eof (round up to a whole number)					
63 - 100 =	0 /50 =			× \$250.00	\$0			
	30.00 for furnishing the ordate (37 CFR 1.492(e)).	\$.						
CLAIMS	NUMBER FIL	_ED	NUMBER EXTRA	RATE	\$			
Total claims	18	- 20 =	0	× \$50.00	\$ 0			
Independent clai	ims 9	- 3 =	6	\$200.00	\$1200.00			
MULTIPLE DEP	ENDENT CLAIM(S) (if ap	plicable)		\$360.00	\$			
			TOTAL OF ABOVE CAL		\$ 1200.00			
Applicant of by ½.	claims small entity status.	See 37 CFR	R 1.27. The fees indicated above a	are reduced	\$			
				SUBTOTAL =	\$ 2200.00			
Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(f)).								
			TOTAL NAT	IONAL FEE =	\$2200.00			
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property +								
TOTAL FEES ENCLOSED =					\$2200.00			
			\$					
		to be charged		\$				
a. A check in the amount of \$ to cover the above fees is enclosed.								
b. X Please charge my Deposit Account No. 23-1665 A duplicate copy of this sheet is enclosed. b. A check in the amount of \$ 2200.00 In the amount of \$ 2200.00 To cover the above fees. A duplicate copy of this sheet is enclosed.								
c. X The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No.23-1665 Addupticate copy of this sheet is enclosed.								
d. Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card Information should not be included on this form. Provide credit card information and authorization on PTO-2038.								
NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status.								
SEND ALL CORRESPONDENCE TO: Docket Coordinator Customer No:								
SIGNATI IDE								
Intellectual Property Law Section 27267 Todd E. Garab Wiggin and Dana LLP					edian, Ph.D.	57 JAN 2005		
One Century Tower New Haven CT 06508-1832 New Haven CT 06508-1832								
New Haven	CT 06508-1832							
Telephone: (203) 498-4400 REGISTRATION NUMBER								

Item No. 20 to Form PTO-1390

20. Other items or information:

PCT Notice of Communication of Application, Form PCT/IB/308
PCT Demand Notice, Form PCT/IPEA/401
PCT International Search Report, Form PCT/ISA/220
PCT International Preliminary Examination Report, Form PCT/IPEA/416
Information Disclosure Statement
Information Disclosure Letter
Form PTO-1449
Copies of Cited Art
Certificate of Mailing
Return Receipt Postcard

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CERTIFICATION UNDER 37 CFR §1.10

I hereby certify that this New Application Transmittal and the documents referred to as enclosed therein are being deposited with the United States Postal Service on this date, <u>January 7, 2005</u>, in an envelope marked "Express Mail" Mailing Label No. EV 534135495 US, addressed to Commissioner for Patents, P. O. Box 1450, Alexandria, Virginia 22313-1450.

Todd E. Garabedian, Ph.D.

Printed Name of Person Mailing Paper

Signature of Person Mailing Paper

- Transmittal Letter Form PTO-1390 (2 Copies)
- Preliminary Amendment
- Combined Declaration and Power of Attorney for Joint Inventors (Unsigned)
- PCT Notice of Communication of Application, Form PCT/IB/308
- PCT Demand Notice, Form PCT/IPEA/401
- PCT International Search Report, Form PCT/ISA/220
- PCT International Preliminary Examination Report, Form PCT/IPEA/416
- Information Disclosure Statement
- Information Disclosure Letter
- Form PTO-1449
- Copies of Cited Art (4 Pieces)
- Return Receipt Postcard

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